

# PROTECTING YOUR PRIVACY

We consider it our great privilege to serve your financial needs and we value the trust you have placed in us. As we serve you and build our financial relationship, please know that we are committed to safeguarding your customer information and continuing our tradition of integrity and protection of your privacy and your assets. Our responsiveness in the matters of privacy is both for your best interests as well as to comply with regulatory requirements.

We hold all personal information provided by you and others to our firm in the strictest confidence. These records include all personal information that we collect from you in connection with any of the services provided by us. We have never disclosed non-public information to non-affiliated third parties, except as permitted by law, and do not anticipate doing otherwise in the future. If we were to anticipate a change in our policy, we would be prohibited under the law from making a change without first advising you of such. It is our policy that before disclosing any information to non-affiliated third parties we offer you the opportunity to direct that the information not be disclosed.

You should also know that we share financial or other non-public client information with others who provide services for our clients only on an as-needed basis to perform their services for us, and we engage with them only if they agree to abide by our privacy policy.

- We collect non-public personal information about you from information we receive from you on applications or other forms.
- We limit staff and representative access to your information only to those who have a business reason for knowing such information, and we provide your non-public information to non-affiliated third parties only as permitted by law.
- We maintain a secure office and computer environment to ensure that your information is not placed at unreasonable risk.
- For non-affiliated third parties that require access to your personal information, including consultants and auditors, we also require strict confidentiality in our agreements with them and ask them to keep this information private and abide by our privacy policy.
- We do not provide your personally identifiable information to mailing list vendors or solicitors.

Because your privacy matters to us, we pledge to work together with you to protect and control the security of your confidential financial and personal information. In addition to complying with all applicable regulations to protect the non-public personal information of our clients, effective in May 2009 Westport Resources has adopted an Information Protection and Identity Theft Prevention Program to comply with the Fair and Accurate Credit Transactions Act (the FACT Act).

This privacy statement is for the purposes for Westport Resources Management, Inc., an investment advisor registered with the SEC, and Westport Resources Investment Services, Inc., a broker-dealer registered with the SEC and member FINRA & SIPC. This privacy statement can be found on our website [www.westportresources.com](http://www.westportresources.com) under Legal Information. Please contact us if you require additional information.

Thank you for the trust you have placed in us.

