

WESTPORT RESOURCES INVESTMENT SERVICES, INC.

315 POST ROAD WEST

WESTPORT, CT 06880

SEC Rule 606 Order Routing Disclosure

Introduction

Westport Resources Investment Services, Inc. (“WRIS”) provides the following report pursuant to the Security & Exchange Commission (“SEC”) Rule 606. Under SEC Rule 606, broker/dealers that route orders on behalf of customers are required to prepare quarterly reports that disclose certain information pertaining to order routing practices. The report is made available to WRIS by our clearing firm National Financial Services LLC (“NFS”), a Fidelity Investments Company, member NYSE & SIPC.

About this Report

This report provides information on the routing of non-directed orders, i.e. any order that the customer has not specifically instructed to be routed to a particular venue for execution. For non-directed orders, an execution venue is selected on behalf of customers.

Order routing information is divided into four sections:

1. Securities listed on the New York Stock Exchange
2. Securities listed on NASDAQ
3. Securities listed on the American Stock Exchange or regional exchanges
4. Exchange-listed options

For each, we identify the venues most often selected and set forth the percentage of various types of orders routed to the venues.

The material aspects of WRIS’s relationships with each of the venues is discussed in the information that follows, after a brief explanation of venues and our approach to trading and execution.

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SEC Rule 606 Order Routing Disclosure (continued)

Venues

Venues are generally exchange specialists, OTC market makers, and alternative trading systems such as ECNs (electronic communication networks) that hold themselves out as willing to receive and execute orders. These are also known as market centers.

About WRIS Trading & Execution

WRIS maintains a trading desk with registered and salaried traders whose obligation to the firm is the dedicated pursuit of quality executions for the clients of WRIS, and not the profits of the trading area nor the profits of the firm.

WRIS is charged for trade executions by venues the firm engages for such purposes – usually in the form of a per ticket charge for trades executed by WRIS with NFS as the clearing firm.

In addition, WRIS is charged pennies per share by other venues such as the NYSE, AMEX, ECNs and other market centers.

Payments Received by WRIS in the form of volume rebates

As part of NFS's overall pricing structures with their correspondents for clearing and other services, NFS rebates certain clearing fees to correspondents based on monthly trading volumes. Presently, WRIS receives such a rebate.

WRIS itself is not a venue for any security and does not maintain proprietary systems of its own.

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Payment received by WRIS in the form of Payment for Order Flow / Material Aspects of Relationship with Venue.

WRIS does not receive payment for order flow for any listed securities, NASDAQ securities, or option securities.

Routing Orders

In routing correspondent's order flow, NFS relies on its best execution review in determining the best available market or venue to route the orders.

At WRIS, as an independent broker/dealer, we believe that having more than one venue in which to transact our client orders gives us the best opportunity to provide a quality execution for our clients. WRIS will route customer orders to various venues based on several factors including but not limited to:

1. The size of the order
2. Favorable execution price and the opportunity to price improve when possible
3. Trading characteristics of the security including volatility and liquidity
4. Reliable market data

Our goal is to provide quality executions for our clients. These venues allow WRIS to follow and monitor our client orders from beginning to end to ensure a quality execution.

Reporting Requirements & For Further Information

It is our responsibility to make this report available on our public website www.westportresources.com for each calendar quarter, published within one month after quarter-end. Customers can request details on the identity of the venue to which the customer's orders were routed for execution in the six months prior to the request date, whether the orders were directed at the customer's request to a specific venue for execution and the time of the executions, if any, that resulted from those orders. Customers may also request up to six months of this information in hardcopy on all orders for a specific time period and/or on individual securities. For further general information on our trade practices or for any specific questions you may have in regard to these issues, please contact your WRIS registered representative.

Westport Resources Investment Services, Inc. is a broker/dealer registered with the SEC and member of FINRA & SIPC. Brokerage accounts are carried by National Financial Services LLC, a Fidelity Investments Company, member NYSE / SIPC.

SEC Rule 606 Quarterly Report for the Quarter Ending September 30, 2008

Securities Listed on NYSE Euronext

Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	90.13%
Limit orders as percentage of total non-directed orders	8.93%
Other orders as percentage of total non-directed orders	0.95%

Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. Automated Trading Desk Financial Services, L.L.C.	33.18%
2. Knight Capital Markets, L.L.C.	20.02%
3. Citadel Derivatives Group, L.L.C.	15.51%
4. NYSE Euronext	10.41%
5. Madoff (Bernard L.) Investment Securities, L.L.C.	9.78%
6. UBS Securities, L.L.C.	7.98%
7. National Financial Services L.L.C.	2.93%
8. American Stock Exchange	0.05%
9. BATS Trading, Inc.	0.05%
10. NYSE ARCA	0.05%

Information Concerning Significant Venues :

1. Automated Trading Desk Financial Services, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	35.37%
Limit orders as percentage of total limit orders	11.62%
Other orders as percentage of total other orders	28.57%

2. Knight Capital Markets, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	20.71%
Limit orders as percentage of total limit orders	8.08%
Other orders as percentage of total other orders	66.67%

3. Citadel Derivatives Group, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	16.16%
Limit orders as percentage of total limit orders	10.61%
Other orders as percentage of total other orders	0.00%

4. NYSE Euronext

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	5.05%
Limit orders as percentage of total limit orders	65.15%
Other orders as percentage of total other orders	4.76%

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Securities Listed on NYSE Euronext (continued)

Information Concerning Significant Venues (continued) :

5. Madoff (Bernard L.) Investment Securities, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	10.71%
Limit orders as percentage of total limit orders	1.52%
Other orders as percentage of total other orders	0.00%

6. UBS Securities, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	8.65%
Limit orders as percentage of total limit orders	2.02%
Other orders as percentage of total other orders	0.00%

7. National Financial Services L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	3.20%
Limit orders as percentage of total limit orders	0.51%
Other orders as percentage of total other orders	0.00%

8. American Stock Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.05%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

9. BATS Trading, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.05%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

10. NYSE ARCA

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	0.51%
Other orders as percentage of total other orders	0.00%

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Securities Listed on The Nasdaq Stock Market

Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	75.54%
Limit orders as percentage of total non-directed orders	23.67%
Other orders as percentage of total non-directed orders	0.79%

Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. UBS Securities, L.L.C.	26.43%
2. Automated Trading Desk Financial Services, L.L.C.	24.26%
3. Knight Equity Markets, L.P.	18.74%
4. Citadel Derivatives Group, L.L.C.	16.96%
5. Madoff (Bernard L.) Investment Securities, L.L.C.	6.11%
6. Citigroup Global Markets, Inc.	3.75%
7. National Financial Services L.L.C.	2.17%
8. EBX L.L.C.	0.39%
9. Unknown	0.39%
10. Natixis Bleichroeder, Inc.	0.20%

Information Concerning Significant Venues :

1. UBS Securities, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	26.11%
Limit orders as percentage of total limit orders	27.50%
Other orders as percentage of total other orders	25.00%

2. Automated Trading Desk Financial Services, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	24.28%
Limit orders as percentage of total limit orders	23.33%
Other orders as percentage of total other orders	50.00%

3. Knight Equity Markets, L.P.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	13.32%
Limit orders as percentage of total limit orders	35.83%
Other orders as percentage of total other orders	25.00%

4. Citadel Derivatives Group, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	20.37%
Limit orders as percentage of total limit orders	6.67%
Other orders as percentage of total other orders	0.00%

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Securities Listed on The Nasdaq Stock Market (continued)

Information Concerning Significant Venues (continued) :

5. Madoff (Bernard L.) Investment Securities, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	8.09%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

6. Citigroup Global Markets, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	3.92%
Limit orders as percentage of total limit orders	3.33%
Other orders as percentage of total other orders	0.00%

7. National Financial Services L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	2.61%
Limit orders as percentage of total limit orders	0.83%
Other orders as percentage of total other orders	0.00%

8. EBX L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.52%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

9. Unknown

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.52%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

10. Natixis Bleichroeder, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	0.83%
Other orders as percentage of total other orders	0.00%

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Securities Listed on The American Stock Exchange or Regional Exchanges

Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	86.45%
Limit orders as percentage of total non-directed orders	11.72%
Other orders as percentage of total non-directed orders	1.83%

Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. Knight Capital Markets, L.L.C.	32.97%
2. Automated Trading Desk Financial Services, L.L.C.	17.22%
3. Madoff (Bernard L.) Investment Securities, L.L.C.	14.65%
4. Citadel Derivatives Group, L.L.C.	14.29%
5. American Stock Exchange	8.42%
6. Archipelago Trading Services, Inc.	6.96%
7. NYSE Euronext	2.56%
8. UBS Securities, L.L.C.	2.20%
9. National Financial Services L.L.C.	0.73%

Information Concerning Significant Venues :

1. Knight Capital Markets, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	31.36%
Limit orders as percentage of total limit orders	43.75%
Other orders as percentage of total other orders	40.00%

2. Automated Trading Desk Financial Services, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	17.80%
Limit orders as percentage of total limit orders	15.63%
Other orders as percentage of total other orders	0.00%

3. Madoff (Bernard L.) Investment Securities, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	15.68%
Limit orders as percentage of total limit orders	9.38%
Other orders as percentage of total other orders	0.00%

4. Citadel Derivatives Group, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	15.68%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	40.00%

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Securities Listed on The American Stock Exchange or Regional Exchanges (continued)

Information Concerning Significant Venues (continued) :

5. American Stock Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	5.93%
Limit orders as percentage of total limit orders	25.00%
Other orders as percentage of total other orders	20.00%

6. Archipelago Trading Services, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	8.05%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

7. NYSE Euronext

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	2.12%
Limit orders as percentage of total limit orders	6.25%
Other orders as percentage of total other orders	0.00%

8. UBS Securities, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	2.54%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

9. National Financial Services L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.85%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

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Exchange - Listed Options

Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	7.27%
Limit orders as percentage of total non-directed orders	92.73%
Other orders as percentage of total non-directed orders	0.00%

Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. The Philadelphia Stock Exchange	60.91%
2. The Chicago Board Options Exchange	17.27%
3. NYSE ARCA	10.91%
4. The Boston Option Exchange	3.64%
5. Goldman, Sachs & Co.	2.73%
6. The International Securities Exchange	2.73%
7. American Stock Exchange	1.82%

Information Concerning Significant Venues :

1. The Philadelphia Stock Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	25.00%
Limit orders as percentage of total limit orders	63.73%
Other orders as percentage of total other orders	0.00%

2. The Chicago Board Options Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	37.50%
Limit orders as percentage of total limit orders	15.69%
Other orders as percentage of total other orders	0.00%

3. NYSE ARCA

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	12.50%
Limit orders as percentage of total limit orders	10.78%
Other orders as percentage of total other orders	0.00%

4. The Boston Option Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	3.92%
Other orders as percentage of total other orders	0.00%

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Exchange - Listed Options (continued)

Information Concerning Significant Venues (continued) :

5. Goldman, Sachs & Co.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	12.50%
Limit orders as percentage of total limit orders	1.96%
Other orders as percentage of total other orders	0.00%

6. The International Securities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	12.50%
Limit orders as percentage of total limit orders	1.96%
Other orders as percentage of total other orders	0.00%

7. American Stock Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	1.96%
Other orders as percentage of total other orders	0.00%

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